

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): ROBERTS et al.	
Serial No.: 10/634,221	Group Art Unit: 1638
Filed: August 4, 2003	Examiner: ZHENG, Li
Title: CYTOPLASMIC GENE INHIBITION OR EXPRESSION IN TRANSFECTED PLANTS BY TOBRAVIRAL VECTOR	
Attorney Docket No.: LSBC-0137-CP04B	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

Sir:

Attached hereto are copies of Form 1449 and Form 892 that were submitted to or cited by the U.S. Patent and Trademark Office in Application No. 09/771,035, filed January 25, 2001, which was relied upon for an earlier filing date under 35 U.S.C. 120. Thus, copies of these cited references are not attached since they are available in the parent files. 37 CFR 1.98(d). However, the Applicant will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached Form 1449 and Form 892 be expressly considered during the prosecution of this application, and that the references be made record therein and appear among the "references cited" on any patent to issue therefrom.

U.S. Patent Application No. 10/634,221  
Attorney Docket No.: LSBC-0137-CP04B

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 500933. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Date: December 4, 2006

Respectfully submitted,

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. LSB.0137.CPUS04	SERIAL NO. 09/771,035
List of Information Cited by Applicant Page 1 of 1		APPLICANT ROBERTS ET AL.	
		FILING DATE 01/25/01	GROUP 1638

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
AA		5,992,602	07/13/99	Kumagai et al.			
AB		6,369,296	04/09/00	Ratcliff et al.			
AC							
AD							
AE							
AF							

FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
AG		WO 99/50429	10/07/99	PCT			
AH		WO 98/36083					
AI							
AJ							
AK							
AL							
AM							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
EXAMINER	DATE CONSIDERED	
AN	Sablowski et al. (1995) Proc Natl. Sci. USA 92:6901-6905	
AO	Baulcombe (1999) Current Opinion in Plant Biology 2:109-113	
AP	MacFarlane et al. (2000) Virology 267(1):29-35	
AQ	Ratcliff et al. (1999) Plant Cell 11:1207-1215	
AR	Baulcombe (1996) Plant Molecular Biology 32:79-88	
AS	Marathe et al. (2000) Plant Molecular Biology 43(2-3):295-306	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Notice of References Cited</b>		Application/Control No.	Applicant(s)/Patent Under Reexamination ROBERTS ET AL.	
		09/771,035	Examiner	Art Unit 1638
Ashwin Mehta				Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	MacFarlane, S.A., J. Gen. Virol., 1999, Vol. 80, pages 2799-2807.
	V	Ratcliff et al., Plant J., 2001, Vol. 25, pages 237-245.
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.